

**REMARKS**

The drawings have been objected to as failing to show every feature of the invention specified in the claims. Applicant's respectfully traverse the rejection as ALL of the claimed feature are found in the Figures. In this regard, the specification (i.e. Brief Description of the Drawings) has been amended to clarify this point. Clearly, Fig. 1 discloses a "first" algorithm and Figures 2A and 2B disclose a "second" algorithm (see, for example, page 7, lines 24 and 25; and page 8, lines 7 and 8 of the original English translation). Figure 2A shows the use for high-priority (CLP=0), and Figure 2B shows the case for low-priority (CLP=1). Since all of the features of the claimed invention are disclosed in the drawings, no correction is required. The drawings are being resubmitted, as attached, in order to present abandonment of this application.

The abstract has been objected to in the Office Action. The abstract has been amended according as indicated in the attached.

The specification has been objected to for the terms S\_PPD\_1 and LPD, and for the correlation between EPD, PPD, 0 or 1 and S\_EPD\_0, S\_EPD\_1, S\_PPD\_0 and S\_PPD\_1. Applicant's respectfully disagree. First, the term S\_PPD\_1 is defined as  $S\_PPD\_1 = S\_EPD\_1 + MFS$  in the claims and specification. The relationship to PPD and EPD is shown, for example, on page 7 of the original English specification. Second, LPD is defined, for example, in the last paragraph of the Background of the Invention (as readily understood by the skilled artisan and is a term of art). Third, the correlation between the various terms may also be found on page 7 of the original English translation.

Claims 31-35 have been objected to due to informalities. Indeed, there is a typographical error in the Preliminary Amendment. Claims 18-35, not 18-30 were added.

Claims 25, 29 and 30 have also been objected to due to informalities. The claims have been amended accordingly. However, Applicant's note that the "\_\_\_" found in claims 25 and 30 was a function of full-justification in the electronic document. This feature has been turned off to eliminate the aesthetics of the claims.

Claims 25, 28-30 and 33-34 have been rejected under 35 USC 112, first paragraph, and claims 29, 30 and 33-34 have been rejected under 35 USC 112, second paragraph. The rejections are respectfully traversed. Applicant's respectfully refer to the arguments presented above with respect to the objection to the specification for a detailed explanation of the support and enablement provided in the instant specification.

Claims 18-24, 26-27, 31-32 and 35 have been rejected under 35 USC 102(e) as anticipated by Bonneau (U.S. Patent No. 6,657,955). The rejection is respectfully traversed.

The Bonneau reference is not an appropriate 102(e) reference since the filing date of May 27, 1999 is after the 371 date of March 23, 1999 and the original German filing date of May 29, 1998.

Claims 18-23, 26-27, 31-32 and 35 have also been rejected under 35 USC 102(e) as anticipated by Joffe (U.S. Patent No. 5,901,147). The rejection is respectfully traversed.

Joffe fails to disclose a second algorithm by means of which all from a first cell to a last cell are removed upon arrival in a queue from the ATM communications device, as required by the claimed invention (see, for example, claim 18). Rather, Joffe discloses a first algorithm, EPD, which is enabled or disabled based on a per-IVC bases (see, col. 15, line 1). Joffe goes to great lengths to discuss whether cells should be discarded based on the IVC. However, there is no mention of a second algorithm. Rather, a table (Table 2 in col. 14) is referenced to determine outcome.

Additionally, even assuming *arguendo* that that a second algorithm in fact exists in Joffe, Joffe still fails to teach or suggest the combination of the first and second algorithm in a manner claimed by the instant invention. Specifically, Joffe fails to teach or suggest using the first algorithm when a maximum number is exceeded, as required, for example, by claim 18. In fact, a careful review of Joffe states that if a threshold is exceeded, a circuit determines whether the cell is to be discarded, transmitted with an overload indication, or transmitted without an overload indication (see, col. 13, lines 61-67). No algorithm is used.

Claim 24 has been rejected under 35 USC 103(a) as unpatentable over Joffe in view of Bonneau. The rejection is respectfully traversed for the same reasons presented above with respect to the Joffe and Bonneau references.

In view of the above, each of the presently pending claims in this application is believed to be in immediate condition for allowance. Accordingly, the Examiner is respectfully requested to withdraw the outstanding rejection of the claims and to pass this application to issue. If it is determined that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number given below.

In the event the U.S. Patent and Trademark office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing docket no. 449122031200. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: May 14, 2004

Respectfully submitted,

By: 

Kevin R. Spivak

Registration No.: 43,148

MORRISON & FOERSTER LLP

1650 Tysons Blvd, Suite 300

McLean, Virginia 22102

(703) 760-7762 - Telephone

(703) 760-7777 - Facsimile



Description

**SPECIFICATION**

**TITLE**

**METHOD FOR REMOVAL OF ATM CELLS FROM AN ATM COMMUNICATIONS  
DEVICE**

5

**CLAIM FOR PRIORITY**

This application claims priority to International  
10 Application No. PCT/EP99/01986, which was published in the  
German language on December 9, 1999, which claims the  
benefit of priority to European Application No. 98109876.7  
which was filed in the European language on May 29, 1998.

15

**~~BACKGROUND OF THE INVENTION~~**

**TECHNICAL FIELD OF THE INVENTION**

The invention relates to a method for a removal of ATM  
cells from an ATM communications device.

20

**BACKGROUND OF THE INVENTION**

In conventional packet communications systems, a  
packet has a comparatively large and variable length. One  
system for transmitting information in packets with fixed,  
25 predetermined lengths is referred to as the ATM  
(Asynchronous Transfer Mode) system. Such a system allows  
voice, video and data signals to be processed and  
transmitted in the same way. The individual packets are  
normally called cells. The cells each contain a cell header,  
30 whose information allows switching and/or assignment of the  
respective cell. In ATM communications devices, in  
particular communications network devices, high-speed and

broadband transmission is possible at a transmission rate of more than 150 Mb/s.

One problem with ATM communications devices is the level of the transmission rate on a transmission path when a jam of ATM cells has formed there. This problem is described in detail in the German Patent Application 19810058.2, corresponding to U.S. Serial No. 09/623,775, filed September 8, 2000. This refers to ATM systems in which a plurality of ATM cells are in each case assigned to a common frame. These frames are data packets of variable length, in a relatively narrow sense. If, for example, a cell in such a frame is lost or has been damaged, it is undesirable for the remaining cells in the same frame to be transmitted further over a transmission path of an ATM device, since the complete information in the frame would no longer be received at the end of the transmission path. The ATM system would thus be unnecessarily loaded dynamically. Particularly when a jam occurs on the transmission path, it is necessary to remove the remaining cells in the frame as quickly and effectively as possible.

It has thus been proposed for ATM cells in a specific frame to be removed in each case when an individual ATM cell arrives at the end of a queue. Such queues are used, in particular, to control a sequence of ATM cells at the end and/or at the start of a transmission path. According to a method which is described in the above-mentioned Patent Application and which is called Partial Packet Discard (PPD in the following text), the first and, if present, other cells in the frame which are already located in the queue are not removed, but only all the newly arriving cells in the frame, with the exception of the last cell of the frame. The PPD method has the disadvantage that

at least the first and the last cell in the frame still have to remain in the queue.

The abovementioned Patent Application discloses a further method, according to which all the cells in a frame, from the first cell to the last cell, are removed from the ATM communications device upon arrival in a queue. This method, which is called Early Packet Discard (EPD in the following text), has the advantage that no residual cells remain from a damaged frame, or from a frame which is to be removed for other reasons, and the maximum possible space is thus available for other ATM cells. However, the EPD method cannot be applied to frames whose first cell has already been added to the queue.

The transmission of information using the Internet is an example of communication networks via which information is transmitted in packets with a comparatively large and variable length. The Internet protocol TCP/IP is used in this case, which supports the transmission of frames with a variable length. In practice, these networks have an interface to ATM networks. For this reason, the information contained in data packets has to be converted to ATM cells, and vice versa.

For this purpose a frame initial code, for example, is stored which denotes that ATM cell immediately in front of the first ATM cell of the frame in the queue. This information normally exists in the cell header of the last cell of the frame, namely, as a rule, in the so-called AAU bit in the cell type field (payload type field) of the cell header. Furthermore, the ATM cells are numbered so that, in the end, the majority of the ATM cells can be assigned to a data packet.

German Patent Application 198 100 58.2 describes a further method for how ATM cells can be removed when overload situations occur in a frame. This method, which is

also called the LPD method, is particularly useful when a decision has been made to discard the second part of the frame while the first part is still located in the queue in the ATM system. In this case, the first part of the frame is removed from the queue, and the remaining cells are dealt with in the same way as in the EPD method. However, the problem arises here of inefficient handling of the cells in an overload situation.

10

#### SUMMARY OF THE INVENTION

~~It is an object of the~~The invention ~~to provides~~ a way of handling cells efficiently in an overload situation.

According to the method of the invention for removal of ATM cells from an ATM communications device, a plurality of ATM cells are provided, a plurality of which are in each case assigned to a common frame and which are stored in connection-specific queues. A first algorithm is provided by means of which, with the exception of a first and a last ATM cell in a frame, all newly arriving cells in the frame are removed. A second algorithm is provided by means of which all the ATM cells in a frame, from a first cell to a last cell, are removed on arrival in a queue from the ATM communications device. At a start of a transmission process, a user indicates a maximum number of ATM cells per frame, and the ATM cells using the number are transmitted when the maximum number is exceeded, the associated frame is discarded or the first algorithm is used.

An advantageous feature of the invention is, in particular, that rules are defined whose application results in the PPD method now being used to only a very limited extent.

The invention will be explained in more detail in the following text with reference to an exemplary embodiment.

**BRIEF DESCRIPTION OF DRAWINGS**

Fig. 1 shows ~~the first part of the an~~ algorithm which deals with the cells when cells arrive.

Fig. 2A shows ~~the second part of the an~~ algorithm, which describes a decision function, ~~on the basis of which the cells are discarded~~ for high-priority cells.

Fig. 2B shows an algorithm, which describes a decision function for low-priority cells.

**DETAILED DESCRIPTION OF THE INVENTION**

Although various minor changes and modifications might be proposed by those skilled in the art, it will be understood that our wish is to include within the claims of the patent warranted hereon all such changes and modifications as reasonably come within our contribution to the art.

The invention is based on the assumption that ATM cells are fed to queues in an ATM communications device. Some of the cells are discarded, but the remaining cells leave the queues at a later time. Each connection has a specific maximum frame size MFS, which is measured in cells and which depends on the connection. Furthermore, it is assumed that the CLP bit in the cell type field (payload type field) of the cell header of the ATM cell is evaluated in the ATM node. The user can send information in high-priority and low-priority frames. The cells in the high-priority frames have CLP = 0 (not marked), while the cells with low-priority frames have CLP = 1 (marked).

In all the connections under consideration, it is assumed that the associated cells are organized in frames, with the AAU bit being set in the payload type field of the header of the last cell in the frame. All the cells should receive application-related information. Furthermore, all the ATM cells which are stored in queues should have



queue-specific markings QID with the queues themselves being organized on a connection-specific basis. The queues are designed as a FIFO queue, in the form of an ordered list of ATM cells.

5           The following text is intended to define the data structure of the queues, of the global constants and of the global variables. First of all, individual operations are introduced, which can be carried out on the cells. It is assumed that each of the cells has a unique identification,  
10 which is denoted P\_cell. In detail, the operations are:

Cell operations:

15           The following operations are carried out with ATM cells to which a valid cell identification number P\_cell is assigned. In this case:

end_of_frame (P_cell)	is set to the value TRUE when the end of the frame is reached, otherwise, this variable is set to the value FALSE
Discard_cell (P_cell)	discards cells having the identification number P_cell
Decide_cell (P_cell)	designates the algorithm, as will be explained in more detail further below.

Operations on the queue data structure:

The following operations can be carried out in the queue:

append_cell (P_cell)	inserts the identification number P_cell at the end of a queue
remove_last_frame	the LPD algorithm discards all the cells in the frame in

question

the variable returns the value TRUE if the LPD algorithm can be applied to the connection, otherwise the value FALSE.

Operation in the buffer contents:

The following operations can be carried out in the buffer contents:

Buffer_check_0	returns the value TRUE when the buffer contents indicate that high-priority frames (CLP = 0) should be discarded otherwise, FALSE is returned
Buffer_check_1	returns the value TRUE when the buffer contents indicate that low-priority frames (CLP = 1) should be discarded otherwise, FALSE is returned

Data structures in a queue:

There is an identification number QID for each connection and the queue associated with it. This is used for storing the following data:

- 5    -    indication as to whether the variable "full packet discard" can be applied to the cells in the present frame (FPD\_flag). This is equivalent to the statement that the LPD or EPD algorithm is used.
- indication as to whether the PPD algorithm is applied
- 10   to the cells in the present frame (PPD\_flag).
- the variable "logical queue length" denotes a cell counter which indicates the present number of cells in the queue.

- the variable S\_EPD\_0 denotes the fixed threshold of a queue for application of the EPD algorithm to low-priority cells

- the variable MFS denotes the maximum frame size

5 - the variable Current\_frame\_length denotes a cell counter which is incremented by 1 for non-discarded cells of the connection. The variable is reset when the last cell in a frame arrives.

10 Global constants:

The following global constants are used:

- the constant S\_PPD\_0 denotes a fixed upper limit for the queue (for all QIDs)

15 - the constant S\_EPD\_1 denotes the fixed threshold for early packet discard for CLP1 cells (for all QIDs)

In other variants of the algorithm, the global constants may differ for different groups of connections, or they may be connection-specific.

The following initial values are assigned:

20 FPD\_flag = FALSE

PPD\_flag = FALSE

Current\_frame\_length = 0

Furthermore, the following relationships apply to the abovementioned constants:

25  $S\_EPD\_1 > 0$

$S\_PPD\_1 = S\_EPD\_1 + MFS$

$S\_EPD\_0 > S\_PPD\_1$

$S\_PPD\_0 > S\_EPD\_0 + MFS$

30 The method according to the invention consists overall of 2 parts. In the first part, the algorithm starts to run when cells arrive, while in the second part a decision algorithm is controlled.

Figure 1 shows the algorithm which is run when an ATM cell arrives. According to this, the FPD\_flag is checked

first of all. If the FPD\_flag has assumed the value TRUE, the cell is rejected. If this cell was the last cell in the frame, the FPD algorithm is not used when the next cells arrive from the same connection. If the FPD\_flag has assumed  
 5 the value FALSE, the use of the PPD algorithm is checked. If the PPD algorithm is used, that cell which does not represent the last cell in a frame is always rejected. Otherwise, the cell is transferred to the queue, and the PPD algorithm is not used when a cell next arrives. When the PPD  
 10 algorithm is not used, however, other acceptance algorithms can be controlled for a cell. For example, the function append\_cell can be used, or the cell can be rejected.

Figure 2 shows the decision algorithm. In this case a distinction is drawn between low-priority cells and high-priority cells. For high-priority cells (CLP = 0), it can be  
 15 said that:

If the cell in question is the first cell in the frame, a decision must first be made as to whether this cell and the remaining cells in the frame are discarded, or  
 20 whether the cell is added to the queue. Reasons for discarding the frame are, for example, that the queue has less free cell memory space available than the amount MFS. Other reasons may be that the length of the queue is above the EPD\_0 threshold and the status of the buffer store  
 25 indicates at the same time that high-priority frames should be discarded.

If the cell is the only cell in the frame, it simultaneously represents the end of the frame and the FPD\_flag is not set, otherwise it is set.

30 If the cell is not the first cell in the frame, one or more cells of the frame are added to the queue. Otherwise, the decide\_cell function is not used. If it is the last cell in the frame, it is accepted in each case and added to the queue. If it is not the last cell in the frame,

the cell is discarded if the following condition is satisfied:

At most one free memory space for a cell must be present in the queue or if the current length of the queue  
5 is above the threshold EPD\_0 and the buffer store indicates that high-priority frames should be discarded or if the previous length of the frame is greater than the value MFS - 1. The reason for a free cell is to reserve sufficient memory space for the last cell in the frame. The reason for  
10 the value MFS - 1 is that the cell is not the last cell in the frame and, if the present length of the frame exceeds the value MFS - 1, the complete frame also exceeds the value MFS. If the cell is to be discarded, the first part of the frame should, if possible, be removed from the queue and the  
15 FPD\_flag set. Otherwise, the PPD\_flag is set.

For low-priority cells, that is to say cells which have the characteristic CLP = 1, the handling operations to be carried out are similar to those described above, but the thresholds are defined as below for low-priority cells:

20 The variable Logical\_queue\_length is the length of the queue on arrival of the cell, and the variable Current\_frame\_length indicates the value of the variable when cells arrive. Initially, the variable Current\_frame\_length is set to 0. It is incremented by 1  
25 when a cell is added to the queue. It is set to 0 when the end of the frame has arrived or when the last frame has been removed from the queue using the LPD algorithm. The first cell in the frame is generally recognized by the variable Current\_frame\_length = 0.

WE CLAIM AS OUR INVENTION

ABSTRACT OF THE DISCLOSURE

METHOD FOR REMOVAL OF ATM CELLS FROM AN ATM  
COMMUNICATIONS DEVICE

5

~~In the prior art, a plurality of algorithms have come into use for dealing with overload situations in ATM transmission systems. One of these algorithms is the known PPD method. When this method is used, the ATM~~  
10 ~~network is still excessively loaded dynamically, since not all the ATM cells can be discarded. The invention discloses removal of ATM cells from an ATM communications device. A first algorithm is provided by means of which, with the exception of a first and a~~  
15 ~~last ATM cell in a frame, all newly arriving cells in the frame are removed. A second algorithm is provided by means of which all the ATM cells in a frame, from a first cell to a last cell, are removed on arrival in a queue from the ATM communications device. At a start~~  
20 ~~of a transmission process, a user indicates a maximum number of ATM cells per frame, and the ATM cells using the number are transmitted when the maximum number is exceeded, the associated frame is discarded or the first algorithm is used. The invention disclosed~~  
25 ~~method for removal of ATM cells from an TM communications device~~ solves this problem by defining rules whose application results in this method now being used only to a very limited extent.